

FORM PTO-139C (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 2-2005)

ATTORNEY'S DOCKET NUMBER

05-834

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/ 551 730**INTERNATIONAL APPLICATION NO.  
PCT/EP2004/003530INTERNATIONAL FILING DATE  
02 April 2004 (02.04.04)PRIORITY DATE CLAIMED  
04 April 2003 (04.04.03)

## TITLE OF INVENTION

**DYE BASED ON AT LEAST ONE POLYMER DISPERSION AND METHOD FOR APPLICATION OF THE DYE**

## APPLICANT(S) FOR DO/EO/US

**Uwe BEFURT; Thomas HUMMERT; Erhard BISTER; Harald SAUSENG; Jürgen STECHER; Viktor SORG;  
Heike ZÖLLER; Rolf MAZENAUER**


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13<sup>ter</sup>.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV596647375US**
23. ☒ Other items or information:


Application Data Sheet  
Return Receipt Postcard

U.S. APPLICATION NO. <b>10/551730</b> INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/003530</b>		ATTORNEY'S DOCKET NUMBER <b>05-834</b>	
The following fees are submitted: 24. <input checked="" type="checkbox"/> Basic national fee ..... \$300		<b>CALCULATIONS      PTO USE</b>	
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). ..... \$100 All other situations. .... \$200		\$ 300.00 \$ 200.00	
26. <input checked="" type="checkbox"/> Search fee ..... Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100 International Search Report prepared and provided to the Office ..... \$400 All other situations. .... \$500		\$ 400.00	
<b>TOTAL OF 24, 25 and 26 =</b>		<b>\$ 900.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE
- 100 =	0	/50 =	0
		x	\$250.00
		\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	18 - 20 =	0	x \$50.00
Independent claims	1 - 3 =	0	x \$200.00
		\$ 0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/>		+ \$360.00	
		\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ 0.00	
<b>SUBTOTAL =</b>		\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>		\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>		\$ 900.00	
		Amount to be \$	
		Amount to be \$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-2490</u> in the amount of <u>\$900.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-2490</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO: Michael S. GREENFIELD MCDONNELL BOEHNNEN HULBERT & BERGHOFF LLP 300 South Wacker Drive, Suite 3100 Chicago, IL 60606 US Phone: (312) 913-0001 Fax: (312) 913-0002			
		SIGNATURE  Michael S. Greenfield NAME 37,142 REGISTRATION NUMBER	

VERIFICATION OF TRANSLATION

Re: International Patent Application PCT/EP2004/003530  
"Farbe auf Basis mindestens einer Polymerdispersion  
und Verfahren zum Auftrag der Farbe"  
"Paint based on at least one polymer dispersion and  
method for applying the paint"

I, Helen Ritchie Muir M.A. of 3 Woodgrove Drive, Dumfries DG1  
1RA, Scotland, am the translator of the above-referenced  
publication and I declare that, to the best of my knowledge  
and belief, the following is a true and accurate translation  
of the German text.

  
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(Helen R. Muir)

Dumfries/Scotland,

Date: September 16th, 2005